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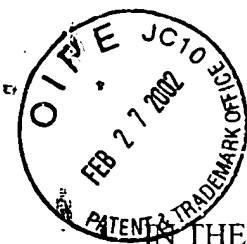
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PATENT APPLICATION
Docket No: 9311.36

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#21

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

NICHOLAS A. THOMAS & STEVEN B. SMITH

Serial No.: 10/035,875

Filed: NOVEMBER 9, 2001

For: METHODS AND SYSTEMS FOR
ELECTRONIC COUPON ISSUANCE
TRANSMISSION AND MANAGEMENT

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) ART UNIT
) N/A
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INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Honorable Commissioner of Patents
and Trademarks
Washington, D.C. 20231

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Technology Center 2100

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449, which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.


Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

Dated this 12 day of February, 2002.

Respectfully submitted,


MICHAEL F. KRIEGER
Attorney for Applicant
Registration No. 35,232

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1800 Eagle Gate Tower
60 East South Temple
Salt Lake City, Utah 84145
Telephone: (801) 321-4814
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CERTIFICATE OF DEPOSIT UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on February 12, 2002.

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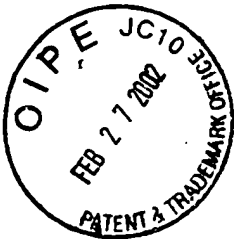
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Transmitted: Transmittal for Information
Disclosure Statement
Information Disclosure Statement
Form PTO-1449 Listing of All References
Legible Copies of All References Listed

MFK:tka
Enclosures
Docket: 9311.36

605407.1



MICHAEL F. KRIEGER
REGISTERED PATENT ATTORNEY

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PATENT APPLICATION

Docket No.: 9311.36

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TRANSMITTAL FOR INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents
and Trademarks
Washington, D.C. 20231

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Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is an Information Disclosure Statement.

Enclosed also are the following designated documents, as required under 37 C.F.R. §§ 1.97 and 1.98:

- X Form PTO-1449 list of three (3) references submitted for consideration.
- X Legible copies of the listed references or their relevant portions.
- All English translations of each nonenglish reference, if any, within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) (see 37 C.F.R. § 1.98(c)).

The following are included within the Information Disclosure Statement if applicable and as required under 37 C.F.R. § 1.98:

- Concise explanation of relevance of each reference not in English and unaccompanied by an English translation.

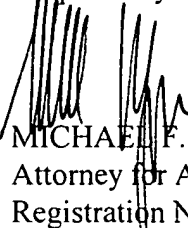
- ☐ Statement that certain listed references not enclosed are substantially cumulative of an enclosed reference.
- ☐ Statement that certain listed references not enclosed were previously cited by or submitted to the Office in the identified prior application which is relied upon for an earlier filing date under 35 U.S.C. § 120.

In order to secure consideration of the items designated above, one or more of the following, if required, is also enclosed:

- ☐ Promptness Certification.
- ☐ Check No. _____ in the amount of \$ _____ constituting submission fee -- see 37 C.F.R. 1.17(p)
- ☐ Petition for Consideration and Check No. _____ in the amount of \$ _____ -- see 37 C.F.R. 1.17(i)(1).
- ☒ In the event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that the Promptness Certification meets the requirements of 37 C.F.R. § 1.97(e), or in any other event remediable by a fee, please credit any over payment or charge any additional fees to Deposit Account No. 500843 of the undersigned.

Dated this 12 day of February, 2002.

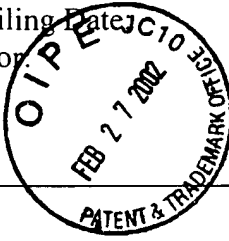
Respectfully submitted,


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 TRANSMISSION AND MANAGEMENT

Att'y Docket No. 9311.36
 Group: Not yet assigned



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U.S. Patent Documents

Examiner <u>Initial*</u>	Document <u>Number</u>	Issue <u>Date</u>	<u>Name</u>	<u>Class</u>	Sub <u>Class</u>	Filing <u>Date</u>
_____ A1.	6,250,557	06/26/01	FORSLUND ET AL.	235	492	08/25/98
_____ A2.	6,067,529	05/23/00	RAY ET AL.	705	26	08/12/98
_____ A3.	5,821,513	10/13/98	O'HAGEN ET AL.	235	383	11/05/96

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Prior Art Cited by Applicants

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While the filing of prior art statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper prior art statement, Form PTO-1449 shall be accompanied by an explanation of relevance of each listed item, a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all prior art citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the

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